STATE OF ALABAMA ALABAMA SECURITIES COMMISSION

IN THE MATTER OF:)	
PASTOR JAMES S. MOORE))	ADMINISTRATIVE ORDER
TRINITY LIFE CHURCH)	NO. CD-2002-0015
TRINITY LIFE CHURCH, INC.)	
RESPONDENTS)	
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CEASE AND DESIST ORDER

The Alabama Securities Commission ("Commission"), having the power to administer and provide for the enforcement of all provisions of Title 8, Chapter 6, Code of Alabama 1975, the Alabama Securities Act, upon due consideration of the subject matter hereof, and having confirmed information of the offer and/or sale of securities into, within, or from the State of Alabama, has determined as follows:

RESPONDENTS

- 1. RESPONDENT JAMES S. MOORE ("MOORE") is the Pastor of TRINITY LIFE CHURCH with a business address of 4370 Gamble Lane Bessemer, Alabama 53022. At all times relevant to violations set forth herein, MOORE acted as an agent of Trinity Life Church.
- 2. RESPONDENT TRINITY LIFE CHURCH is a religious organization with an address of 4370 Gamble Lane, Bessemer, Alabama, 53022.
- 3. RESPONDENT TRINITY LIFE CHURCH, INC. ("TLCI") is a business entity created by the TRINITY LIFE CHURCH. At all times relevant to the violations set forth herein, TLCI acted as the vehicle through which Saving Bonds belonging to TRINITY LIFE CHURCH were solicited and sold.

STATEMENT OF FACTS

- 4. The Commission is in receipt of information that MOORE engaged in the offer and/or sale of unregistered securities, to wit: investment contracts entitled "Saving Bonds", of TRINITY LIFE CHURCH and TLCI, to Alabama residents.
- 5. A review of the Registration files of the Alabama Securities Commission, disclosed no record of registration, of MOORE, TRINITY LIFE CHURCH, or TLCI as a securities agent/dealer in the state of Alabama
- 6. A review of the files of the Alabama Securities Commission, disclosed no record of registration, or exemption from registration, of the securities, of TRINITY LIFE CHURCH, or TLCI.

CONCLUSIONS OF LAW

- 7. RESPONDENT MOORE is not registered as a securities agent in the state of Alabama.
- 8. Transacting business in the State of Alabama as a securities agent while unregistered is a violation of § 8-6-3(a), Code of Alabama, 1975.
- RESPONDENTS, TRINITY LIFE CHURCH and TLCI are not registered as securities dealers in the State of Alabama.
- 10. Transacting business in the State of Alabama as a securities dealer while unregistered is a violation of § 8-6-3(a), <u>Code of Alabama</u>, <u>1975</u>.

- 11. The securities of RESPONDENTS, TRINITY LIFE CHURCH, or TLCI, being solicited for sale by MOORE, as set forth in paragraph 4, above, are not registered, or exempt from registration in the state of Alabama.
- 12. The sale or attempted sale of unregistered, non-exempt securities into, within, or from the state of Alabama is a violation of § 8-6-4, <u>Code of Alabama</u>, 1975.

This Order does not prevent the Alabama Securities Commission from seeking such other civil or criminal remedies that are available to it under the Alabama Securities Act.

This Order is appropriate in the public interest for the protection of investors and consistent with the purpose of the Alabama Securities Act.

ACCORDINGLY, IT IS HEREBY ORDERED that, RESPONDENTS JAMES S. MOORE, TRINITY LIFE CHURCH, and TRINITY LIFE CHURCH, INC., immediately CEASE AND DESIST from further offers or sales of any security into, within, or from the State of Alabama.

Entered at Montgomery, Alabama, this ____; 3³ day of ______, 2002

ALABAMA SECURITIES COMMISSION 770 Washington Street, Suite 570 Montgomery, Alabama 36130-4700 BY:

JOSEPH P. BORG

Director